**GOVERNMENT RELATIONS** 

# LPL PAC 2022 ANNUAL REPORT



### A NOTE FROM RYDER HILL

As Congress enters its 118th session, with new leadership in the House and new members of Congress, I want to take a moment to look back on the accomplishments of 2022 and thank you for your continued support of the LPL Political Action Committee (PAC).

The last year brought a return to normalcy after two years of disruption from the coronavirus pandemic. LPL Government Relations hosted its first fly-in in Washington, D.C. since the pandemic, which gave advisors and home-office employees the opportunity to speak directly with members of Congress. During the visit, we emphasized industry support for bipartisan retirement legislation and explained the ways that the bill would encourage individuals to save more for retirement while unlocking access to retirement plans. SECURE 2.0 was signed into law in December 2022 and contained a number of the provisions that our advisors advocated for during the fly-in.

LPL's long-standing commitment to ensuring that all Americans have access to retirement savings leads our advocacy agenda. We also focus on: senior investor protection; preserving independent contractor status; advocating for thoughtful data security and privacy legislation; harmonizing the best interest standard, tax policy and fees that impact our industry; and promoting diversity, equity, and inclusion in financial services. We're also dedicated to: advocating for thoughtful regulation that protects consumers and leveraging technology to modernize our industry.

We are proud to share that the LPL PAC has grown steadily each year since its inception in 2010. The LPL PAC's strength enables us to effectively advocate on issues that are important to your business, our industry, and the American investor.

LPL has a respected voice in Washington, DC thanks to you and your support. Looking forward, we remain committed to advocating on the issues that matter most to our advisors, employees, and clients.

We appreciate your support of the LPL PAC.

Sincerely,

Ryder Hill Chair, LPL PAC



is to build strong relationships with regulators and legislators so that we're in the best position to serve as trusted resources when Congress or regulatory agencies consider policies affecting the delivery of comprehensive financial advice and services.





The LPL PAC was established in June 2010 and has seen steady growth year over year.

# **4**% INCREASE

In 2022, the LPL PAC received \$481,299.07 in contributions, a 4% increase over 2021.

PAC stands for Political Action Committee.
A PAC is a government-regulated organization that anyone can form to raise money for political campaign donations. PACs are formed by individuals, nonprofits, and most major corporations.

#### Why is the LPL PAC important to LPL Financial?

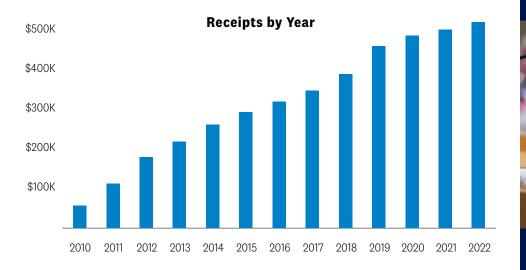
The LPL PAC is an important tool for supporting the business goals and objectives of LPL. Federal regulations strictly prohibit companies like LPL from making campaign contributions directly to federal candidates. However, through a PAC, companies may donate to legislators running for office since PACs are funded through the voluntary personal contributions of its PAC-eligible class (employees and affiliated financial professionals).

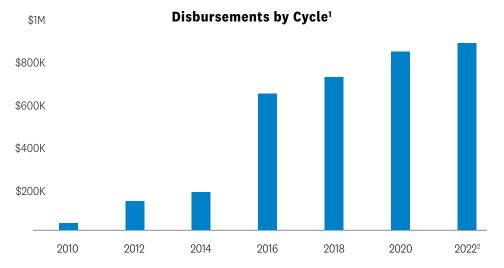
These contributions help LPL foster relationships with those in Washington who can help shape our industry. LPL is permitted to fund the administrative costs associated with operating the LPL PAC, ensuring that every dollar contributed to the LPL PAC goes directly to to candidates and elected officials running for office.

#### Why is the LPL PAC important to you?

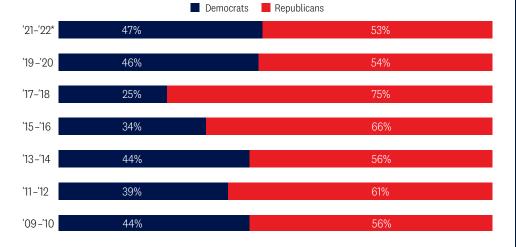
The LPL PAC is supported by LPL employees and affiliated financial professionals who make voluntary personal contributions for the purpose of supporting candidates for federal office.

Through the PAC, we can speak with one voice, so elected officials clearly hear our position on policies that affect financial advisors and their clients. A strong LPL PAC allows us to help tell the LPL story and shape the future of our industry, instead of relying on others who may not always share our agenda.









- 1 Includes all disbursements made during election cycle
- $2\,$  Includes disbursments from 2021, the first half of the 2022 cycle
- 3 Does not include trade association PACs





#### **WE ARE BEING HEARD**

As the LPL PAC grows, we're able to amplify our collective voice in Washington, D.C. During the 2021–2022 election cycle, the LPL PAC disbursed a total of \$889,000 to congressional campaigns, industry trade association political action committees, and congressional leadership political action committees.

# HOW DOES THE LPL PAC SELECT CANDIDATES TO RECEIVE CONTRIBUTIONS?

Our PAC Board approves contributions to candidates using information provided by a variety of sources including senior management, coalitions, industry groups, and PAC members, candidates are assessed using the following criteria:

- Candidate's electability
- Candidate's position on key committees and working groups where legislation of importance to LPL Financial is considered
- Candidate's demonstrated leadership, or potential for leadership, within
   Congress on pertinent issues
- Candidate's position or voting record on issues of direct concern to LPL, our employees, and affiliated financial professionals
- Geographic location of the area the candidate or elected official represents as it relates to where LPL Financial has or may have operations
- Knowledge of the financial services industry
- Background and experience



## LPL PAC DISBURSEMENT RECIPIENTS

From January 1, 2022, through December 31, 2022, the LPL PAC disbursed \$639,500 to congressional campaigns and committees.

Of that total, \$484,000 was disbursed to members of the U.S. House of Representatives, \$105,500 to members of the U.S. Senate, and \$35,000 to Caucus PACs. Additionally, in 2022 the LPL PAC disbursed \$15,000 to industry trade association political action committees as described below.

#### **U.S. House of Representatives Committees Supported by the LPL PAC**

**House Financial Services Committee:** This committee has jurisdiction over the U.S. Securities and Exchange Commission, self-regulatory organizations such as FINRA, and our nation's banking and financial systems.

**House Ways and Means Committee:** This committee is the chief tax-writing committee of the House and has jurisdiction over all taxation, tariffs, and other revenue-raising measures.

**House Education and Labor Committee:** This committee has jurisdiction over the Department of Labor and pension and retirement issues.

#### **U.S. Senate Committees Supported by the LPL PAC**

**Senate Committee on Health, Education, Labor and Pensions:** This committee has jurisdiction over the Department of Labor and pension and retirement issues.

**Senate Committee on Finance:** This committee has jurisdiction over taxation and revenue measures, as well as retirement issues.

**Senate Committee on Banking:** This committee has jurisdiction over banking, insurance, financial markets, and securities issues.

#### **2022 House of Representative Disbursements**

Representative Pete Aguillar	Name	Party-State	Amount	Name	Party-State	Amount
Representative Jake Auchincloss         D-MA         \$2,500         Representative Hakeem Jeffries         D-NY         \$5,000           Representative Cindy Anne         D-IA         \$2,000         Representative Dave Joyce         R-OH         \$4,000           Representative Loyce Beatty         D-OH         \$5,000         Representative Nike Kelly         D-IL         \$2,500           Representative Don Beyer         D-VA         \$2,000         Representative Don Relyer         D-MI         \$7,000           Representative Berndan Boyle         D-PA         \$5,000         Representative Vern Buchanan         R-FL         \$6,500         Representative Power Willing         R-CA         \$6,500           Representative Vern Buchanan         R-FL         \$6,500         Representative David Kustoff         R-TN         \$3,500           Representative Wern Buchanan         R-FL         \$6,500         Representative David Kustoff         R-TN         \$3,500           Representative Vern Buchanan         R-FL         \$6,500         Representative David Kustoff         R-TN         \$3,500           Representative Watt Cartwright         D-PA         \$6,000         Representative David Kustoff         R-TN         \$2,500           Representative Matt Cartwright         D-FL         \$2,000         Representative David	Representative Pete Aguilar	D-CA	\$5,000	Representative Steny Hoyer	D-MD	\$10,000
Representative Cindy Axne         D-1A         \$2,000         Representative Dave Joyce         R-OH         \$4,000           Representative Andy Barr         R-KY         \$8,500         Representative Robin Kelly         R-PA         \$2,500           Representative Done Beyer         D-VA         \$2,000         Representative Dan Kildee         D-MI         \$7,000           Representative Sanford Bishop         D-GA         \$2,000         Representative Derek Kilmer         D-WA         \$1,000           Representative Brendan Boyle         D-PA         \$5,000         Representative Derek Kilmer         D-WA         \$1,000           Representative Vern Buchanan         R-L         \$6,500         Representative Busider         D-NH         \$3,500           Representative Ted Budd         R-NC         \$10,000         Representative David Kustoff         R-TN         \$2,500           Representative Mike Carey         R-OH         \$2,000         Representative David Kustoff         R-TN         \$2,500           Representative Ed Case         D-HI         \$3,500         Representative John Larson         R-IL         \$10,000           Representative Sean Casten         D-HI         \$3,500         Representative John Larson         R-IA         \$2,000           Representative Sean Casten	Representative Jodey Arrington	R-TX	\$7,500	Representative Bill Huizenga	R-MI	\$13,000
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Representative Ed Case D-HI \$3,500 Representative Julia Letlow R-LA \$2,000 Representative Sean Casten D-IL \$2,000 Representative Blaine Luetkemeyer R-MO \$12,500 Representative Kathy Castor D-FL \$2,000 Representative Carolyn Maloney D-NY \$3,500 Representative Liz Cheney R-WY \$5,000 Representative Sean Maloney D-NY \$12,500 Representative Liz Cheney R-WY \$5,000 Representative Sean Maloney D-NY \$12,500 Representative Liz Cheney R-WY \$5,000 Representative Sean Maloney D-NY \$12,500 Representative Liz Cheney R-CA \$2,500 Representative McCarthy R-CA \$2,500 Representative James Clyburn D-SC \$2,500 Representative Patrick McHenry R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Cathy McMorris-Rodgers R-WA \$5,000 Representative Burle Dean D-PA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Byron Donalds R-FL \$2,500 Representative Marianette Miller Meeks R-IA \$2,000 Representative Rom Estes R-KS \$3,500 Representative Morris	Representative Mike Carey	R-OH	\$2,000	Representative Darin LaHood	R-IL	\$10,000
Representative Sean Casten D-IL \$2,000 Representative Blaine Luetkemeyer R-MO \$12,500 Representative Kathy Castor D-FL \$2,000 Representative Carolyn Maloney D-NY \$3,500 Representative Liz Cheney R-WY \$5,000 Representative Sean Maloney D-NY \$12,500 Representative Katherine Clark D-MA \$3,000 Representative Sean Maloney R-NC \$2,500 Representative Sean Maloney R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Patrick McHenry R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$3,500 Representative Dan Morelle D-NY \$2,500 Representative Dan Meuser R-PA \$3,500 Representative Dan Morelle D-NY \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Brian Fitzpatrick R-PA \$3,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Frank Pallone D-NJ \$1,000 Representative Jummy Ametta D-CA \$2,500 Representative Jummy Gomez D-CA \$4,000 Representative Jummy Panetta D-CA \$2,500 Representative Jummy Gomez D-CA \$4,000 Representative Jummy Romez D-CA \$4,000 Representative Dan Rice R-SC \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Marcelle D-NU \$5,000 Representative Mar	Representative Matt Cartwright	D-PA	\$6,000	Representative John Larson	D-CT	\$6,500
Representative Kathy Castor  Representative Liz Cheney  R-WY  \$5,000  Representative Sean Maloney  D-NY  \$12,500  Representative Liz Cheney  R-WY  \$5,000  Representative Sean Maloney  D-NY  \$12,500  Representative Katherine Clark  D-MA  \$3,000  Representative Kevin McCarthy  R-CA  \$2,500  Representative James Clyburn  D-SC  \$2,500  Representative Barrick McHenry  R-NC  \$10,000  Representative Warren Davidson  R-OH  \$2,000  Representative Greg Meeks  D-NY  \$5,000  Representative Suzan DelBene  D-WA  \$2,500  Representative Dan Meuser  R-PA  \$2,500  Representative Byron Donalds  R-FL  \$2,500  Representative Marianette Miller Meeks  R-IA  \$2,000  Representative Tom Emmer  R-MN  \$110,000  Representative Greg Meeks  R-IA  \$2,000  Representative Dan Meuser  R-PA  \$2,500  Representative Dan Meuser  R-PA  \$2,500  Representative Byron Donalds  R-FL  \$2,500  Representative Gwen Moore  D-WI  \$5,000  Representative Tom Emmer  R-MN  \$110,000  Representative Gwen Moore  D-WI  \$5,000  Representative Drew Ferguson  R-GA  \$10,000  Representative Dan Mewlouse  R-WA  \$2,500  Representative Drew Ferguson  R-GA  \$10,000  Representative Dan Newhouse  R-WA  \$2,500  Representative Drew Ferguson  R-GA  \$10,000  Representative Dan Newhouse  R-WA  \$2,500  Representative Bill Foster  D-IL  \$2,000  Representative Ralph Norman  R-SC  \$5,000  Representative Sylvia Garcia  D-TX  \$1,000  Representative Frank Pallone  D-NJ  \$1,000  Representative Joen Peters  D-CA  \$4,000  Representative Sout Peters  D-CA  \$2,500  Representative Joen Peters  D-CA  \$4,000  Representative Sure Representative  R-PA  \$2,500  Representative Breat Fluidhrie  R-KY  \$5,000  Representative Seve Scalise  R-LA  \$1,000  Representative Brian Higgins  D-NY  \$5,000  Representative Breat Guyland  R-PA  \$2,500  Representative Brian Higgins  D-NY  \$5,000  Representative Breat Guyland  R-PA  \$2,500  Representative Breat Hill  R-RA  \$9,000  Representative Breat Hill  R-RA  \$9,000  Representative Breat Hill  B-AL  \$10,000  Representative Breat Hill  R-AL  \$10,000  Representative Bre	Representative Ed Case	D-HI	\$3,500	Representative Julia Letlow	R-LA	\$2,000
Representative Liz Cheney R-WY \$5,000 Representative Sean Maloney D-NY \$12,500 Representative Katherine Clark D-MA \$3,000 Representative Kevin McCarthy R-CA \$2,500 Representative James Clyburn D-SC \$2,500 Representative Patrick McHenry R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Cathy McMorris-Rodgers R-WA \$5,000 Representative Madeleine Dean D-PA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Tom Emmer R-NN \$11,000 Representative Gwen Moore D-WI \$5,000 Representative Tom Emmer R-NN \$11,000 Representative Gwen Moore D-WI \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Dan Meulee D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Dan Meulee D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Dwight Evans D-PA \$6,500 Representative Dwight Evans D-PA \$6,500 Representative Dan Newhouse R-WA \$7,500 Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Pan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Guy Reschenthaler R-PA \$2,500 Representative Weichte Gonzalez D-TX \$1,000 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-PA \$4,000 Representative Brett Guthrie R-PA \$2,500 Representative Brett Guthrie R-PA \$4,000 Representative Brett Guthrie R-PA \$2,500 Representative Brett Guthrie R-PA \$4,000 Representative Bread Schneider D-IL \$10,000 Representative Brian Higgins D-NY \$5,000 Representative Brad Schneider D-IL \$10,000 Representative Brian Higgins D-NY \$5,000 Representative Brad Schneider D-IL \$10,000 Representative Brad Schneider D-IL	Representative Sean Casten	D-IL	\$2,000	Representative Blaine Luetkemeyer	R-MO	\$12,500
Representative Katherine Clark D-MA \$3,000 Representative Kevin McCarthy R-CA \$2,500 Representative James Clyburn D-SC \$2,500 Representative Patrick McHenry R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Cathy McMorris-Rodgers R-WA \$5,000 Representative Madeleine Dean D-PA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Byron Donalds R-F-L \$2,500 Representative Marianette Miller Meeks R-IA \$2,000 Representative Tom Emmer R-MN \$11,000 Representative Gwen Moore D-NY \$5,000 Representative Dwight Evans Representative Dwight Evans R-R-KS \$3,500 Representative Dem Newhouse Representative Drew Ferguson R-GA \$10,000 Representative Drew Ferguson R-GA \$10,000 Representative Bill Foster D-IL \$2,000 Representative Sylvia Garcia D-TX \$1,000 Representative Frank Pallone D-NJ \$1,000 Representative Josh Gottheimer D-NJ \$1,000 Representative Josh Gottheimer D-NJ \$1,000 Representative Splosh Gottheimer D-NJ \$12,500 Representative Brett Guthrie R-PA \$2,500 Representative Brett Guthrie R-PA \$1,000 Representative Steven Horsford R-PA \$2,500 Representative French Hill R-AR \$3,000 Representative Brad Schneider D-IL \$1,000 Representative Brad Schneider D-IL \$1,000 Representative Brad Schneider D-IL \$1,000 Representative Brett Guthrie R-PA \$2,500 Representative Brett Guthrie R-PA	Representative Kathy Castor	D-FL	\$2,000	Representative Carolyn Maloney	D-NY	\$3,500
Representative James Clyburn D-SC \$2,500 Representative Patrick McHenry R-NC \$10,000 Representative Warren Davidson R-OH \$2,000 Representative Cathy McMorris-Rodgers R-WA \$5,000 Representative Madeleine Dean D-PA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Byron Donalds R-FL \$2,500 Representative Madeleine Dean P-WA \$2,500 Representative Madeleine Dean Representative Byron Donalds R-FL \$2,500 Representative Madeleine Miller Meeks R-IA \$2,000 Representative Tom Emmer R-MN \$11,000 Representative More D-WI \$5,000 Representative Tom Emmer R-MN \$11,000 Representative Gwen Moore D-WI \$5,000 Representative Dwight Evans D-PA \$6,500 Representative Joe Morelle D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Richard Neal D-MA \$7,500 Representative Drian Fitzpatrick R-PA \$3,500 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Frank Pallone D-NJ \$1,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Jimmy Gomez D-CA \$4,000 Representative Sott Peters D-CA \$7,500 Representative Vicente Gonzalez D-CX \$1,000 Representative Sott Peters D-CA \$7,500 Representative Josh Gottheimer D-NJ \$1,500 Representative Frank Pallone R-PA \$2,500 Representative Brian Higgins D-NY \$5,000 Representative Sott Peters D-CA \$7,500 Representative French Hill R-AR \$9,000 Representative Sott Peters D-CA \$2,500 Representative French Hill R-AR \$9,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Sound D-PA \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Sound D-PA \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Sound D-PA \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Sound D-PA \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Sound D-PA \$2,000 Representative Brian Higgins D-NY \$5,000 Representative Brian Soun	Representative Liz Cheney	R-WY	\$5,000	Representative Sean Maloney	D-NY	\$12,500
Representative Warren Davidson R-OH \$2,000 Representative Cathy McMorris-Rodgers R-WA \$5,000 Representative Madeleine Dean D-PA \$2,500 Representative Greg Meeks D-NY \$5,000 Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Byron Donalds R-FL \$2,500 Representative Marianette Miller Meeks R-IA \$2,000 Representative Tom Emmer R-MN \$11,000 Representative Greg Moore D-WI \$5,000 Representative Ron Estes R-KS \$3,500 Representative Joe Morelle D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Richard Neal D-MA \$7,500 Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Frank Pallone D-NJ \$1,000 Representative Vicente Gonzalez D-TX \$1,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Brett Guthrie R-KY \$5,000 Representative Tom Rice R-SC \$2,000 Representative Representative Representative Representative R-R-PA \$2,500 Repre	Representative Katherine Clark	D-MA	\$3,000	Representative Kevin McCarthy	R-CA	\$2,500
Representative Madeleine DeanD-PA\$2,500Representative Greg MeeksD-NY\$5,000Representative Suzan DelBeneD-WA\$2,500Representative Dan MeuserR-PA\$2,500Representative Byron DonaldsR-FL\$2,500Representative Marianette Miller MeeksR-IA\$2,000Representative Tom EmmerR-MN\$11,000Representative Gwen MooreD-WI\$5,000Representative Ron EstesR-KS\$3,500Representative Joe MorelleD-NY\$2,500Representative Dwight EvansD-PA\$6,500Representative Richard NealD-MA\$7,500Representative Drew FergusonR-GA\$10,000Representative Dan NewhouseR-WA\$2,500Representative Brian FitzpatrickR-PA\$3,500Representative Ralph NormanR-SC\$5,000Representative Bill FosterD-IL\$2,000Representative Frank PalloneD-NJ\$1,000Representative Sylvia GarciaD-TX\$1,000Representative Jimmy PanettaD-CA\$2,500Representative Vicente GonzalezD-CA\$4,000Representative Guy ReschenthalerR-PA\$2,500Representative Vicente GonzalezD-TX\$1,000Representative Tom RiceR-SC\$2,000Representative Brett GuthrieR-KY\$5,000Representative Tom RiceR-SC\$2,000Representative Brett GuthrieR-KY\$5,000Representative Mary ScanlonD-PA\$2,500Representative Brian HigginsD-NY\$5,000Representative Brad Schneide	Representative James Clyburn	D-SC	\$2,500	Representative Patrick McHenry	R-NC	\$10,000
Representative Suzan DelBene D-WA \$2,500 Representative Dan Meuser R-PA \$2,500 Representative Byron Donalds R-FL \$2,500 Representative Marianette Miller Meeks R-IA \$2,000 Representative Tom Emmer R-MN \$11,000 Representative Gwen Moore D-WI \$5,000 Representative Ron Estes R-KS \$3,500 Representative Joe Morelle D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Richard Neal D-MA \$7,500 Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Brett Guthrie R-KY \$5,000 Representative Tom Rice R-SC \$2,000 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Brad Schneider D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,0	Representative Warren Davidson	R-OH	\$2,000	Representative Cathy McMorris-Rodgers	R-WA	\$5,000
Representative Byron DonaldsR-FL\$2,500Representative Marianette Miller MeeksR-IA\$2,000Representative Tom EmmerR-MN\$11,000Representative Gwen MooreD-WI\$5,000Representative Ron EstesR-KS\$3,500Representative Joe MorelleD-NY\$2,500Representative Dwight EvansD-PA\$6,500Representative Richard NealD-MA\$7,500Representative Drew FergusonR-GA\$10,000Representative Dan NewhouseR-WA\$2,500Representative Brian FitzpatrickR-PA\$3,500Representative Ralph NormanR-SC\$5,000Representative Bill FosterD-IL\$2,000Representative Frank PalloneD-NJ\$1,000Representative Sylvia GarciaD-TX\$1,000Representative Jimmy PanettaD-CA\$2,500Representative Jimmy GomezD-CA\$4,000Representative Scott PetersD-CA\$7,500Representative Vicente GonzalezD-TX\$1,000Representative Guy ReschenthalerR-PA\$2,500Representative Brett GuthrieR-KY\$5,000Representative Tom RiceR-SC\$2,000Representative Kevin HernR-OK\$4,000Representative Steve ScaliseR-LA\$12,500Representative Brian HigginsD-NY\$5,000Representative Mary ScanlonD-PA\$2,000Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Ashley HinsonR-IA\$5,500Representative Brad Sherma	Representative Madeleine Dean	D-PA	\$2,500	Representative Greg Meeks	D-NY	\$5,000
Representative Tom EmmerR-MN\$11,000Representative Gwen MooreD-WI\$5,000Representative Ron EstesR-KS\$3,500Representative Joe MorelleD-NY\$2,500Representative Dwight EvansD-PA\$6,500Representative Richard NealD-MA\$7,500Representative Drew FergusonR-GA\$10,000Representative Dan NewhouseR-WA\$2,500Representative Brian FitzpatrickR-PA\$3,500Representative Ralph NormanR-SC\$5,000Representative Bill FosterD-IL\$2,000Representative Frank PalloneD-NJ\$1,000Representative Sylvia GarciaD-TX\$1,000Representative Jimmy PanettaD-CA\$2,500Representative Jimmy GomezD-CA\$4,000Representative Scott PetersD-CA\$7,500Representative Vicente GonzalezD-TX\$1,000Representative Guy ReschenthalerR-PA\$2,500Representative Josh GottheimerD-NJ\$12,500Representative Tom RiceR-SC\$2,000Representative Brett GuthrieR-KY\$5,000Representative Steve ScaliseR-LA\$12,500Representative Kevin HernR-OK\$4,000Representative Steve ScaliseR-LA\$12,500Representative Brian HigginsD-NY\$5,000Representative Brad SchneiderD-IL\$10,000Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Ashley HinsonR-IA\$5,500Representative Brad Sherman </td <td>Representative Suzan DelBene</td> <td>D-WA</td> <td>\$2,500</td> <td>Representative Dan Meuser</td> <td>R-PA</td> <td>\$2,500</td>	Representative Suzan DelBene	D-WA	\$2,500	Representative Dan Meuser	R-PA	\$2,500
Representative Ron Estes R-KS \$3,500 Representative Joe Morelle D-NY \$2,500 Representative Dwight Evans D-PA \$6,500 Representative Richard Neal D-MA \$7,500 Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative Jim Himes D-CT \$7,500 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Schneider D-CA \$2,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Schreman D-CA \$2,500 Representative Steve Horsford D-NV \$3,000 Representative Brad Schreman D-CA \$2,500 Representative Steve Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000 Representative Brad Schreman D-CA \$2,500 Representative Steve Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$	Representative Byron Donalds	R-FL	\$2,500	Representative Marianette Miller Meeks	R-IA	\$2,000
Representative Dwight Evans D-PA \$6,500 Representative Richard Neal D-MA \$7,500 Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Vicente Gonzalez D-CA \$4,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-AL \$5,500 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Steven Horsford D-NV \$3,000 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Tom Emmer	R-MN	\$11,000	Representative Gwen Moore	D-WI	\$5,000
Representative Drew Ferguson R-GA \$10,000 Representative Dan Newhouse R-WA \$2,500 Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Ron Estes	R-KS	\$3,500	Representative Joe Morelle	D-NY	\$2,500
Representative Brian Fitzpatrick R-PA \$3,500 Representative Ralph Norman R-SC \$5,000 Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Brad Schneider D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500	Representative Dwight Evans	D-PA	\$6,500	Representative Richard Neal	D-MA	\$7,500
Representative Bill Foster D-IL \$2,000 Representative Frank Pallone D-NJ \$1,000 Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Drew Ferguson	R-GA	\$10,000	Representative Dan Newhouse	R-WA	\$2,500
Representative Sylvia Garcia D-TX \$1,000 Representative Jimmy Panetta D-CA \$2,500 Representative Jimmy Gomez D-CA \$4,000 Representative Scott Peters D-CA \$7,500 Representative Vicente Gonzalez D-TX \$1,000 Representative Guy Reschenthaler R-PA \$2,500 Representative Josh Gottheimer D-NJ \$12,500 Representative Tom Rice R-SC \$2,000 Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steve Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Brian Fitzpatrick	R-PA	\$3,500	Representative Ralph Norman	R-SC	\$5,000
Representative Jimmy Gomez  D-CA \$4,000 Representative Scott Peters  D-CA \$7,500 Representative Vicente Gonzalez  D-TX \$1,000 Representative Guy Reschenthaler  R-PA \$2,500 Representative Josh Gottheimer  D-NJ \$12,500 Representative Tom Rice  R-SC \$2,000 Representative Brett Guthrie  R-KY \$5,000 Representative Linda Sanchez  D-CA \$2,500 Representative Kevin Hern  R-OK \$4,000 Representative Steve Scalise  R-LA \$12,500 Representative Brian Higgins  D-NY \$5,000 Representative Mary Scanlon  D-PA \$2,000 Representative French Hill  R-AR \$9,000 Representative Brad Schneider  D-IL \$10,000 Representative Jim Himes  D-CT \$7,500 Representative Terri Sewell  D-AL \$5,500 Representative Ashley Hinson  R-IA \$5,500 Representative Brad Sherman  D-CA \$2,500 Representative Steven Horsford  D-NV \$3,000 Representative Mikie Sherrill  D-NJ \$3,000	Representative Bill Foster	D-IL	\$2,000	Representative Frank Pallone	D-NJ	\$1,000
Representative Vicente Gonzalez  D-TX \$1,000 Representative Guy Reschenthaler  R-PA \$2,500 Representative Josh Gottheimer  D-NJ \$12,500 Representative Tom Rice  R-SC \$2,000 Representative Brett Guthrie  R-KY \$5,000 Representative Linda Sanchez  D-CA \$2,500 Representative Kevin Hern  R-OK \$4,000 Representative Steve Scalise  R-LA \$12,500 Representative Brian Higgins  D-NY \$5,000 Representative Mary Scanlon  D-PA \$2,000 Representative French Hill  R-AR \$9,000 Representative Brad Schneider  D-IL \$10,000 Representative Jim Himes  D-CT \$7,500 Representative Terri Sewell  D-AL \$5,500 Representative Ashley Hinson  R-IA \$5,500 Representative Brad Sherman  D-CA \$2,500 Representative Steven Horsford  D-NV \$3,000 Representative Mikie Sherrill  D-NJ \$3,000	Representative Sylvia Garcia	D-TX	\$1,000	Representative Jimmy Panetta	D-CA	\$2,500
Representative Josh GottheimerD-NJ\$12,500Representative Tom RiceR-SC\$2,000Representative Brett GuthrieR-KY\$5,000Representative Linda SanchezD-CA\$2,500Representative Kevin HernR-OK\$4,000Representative Steve ScaliseR-LA\$12,500Representative Brian HigginsD-NY\$5,000Representative Mary ScanlonD-PA\$2,000Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Jim HimesD-CT\$7,500Representative Terri SewellD-AL\$5,500Representative Ashley HinsonR-IA\$5,500Representative Brad ShermanD-CA\$2,500Representative Steven HorsfordD-NV\$3,000Representative Mikie SherrillD-NJ\$3,000	Representative Jimmy Gomez	D-CA	\$4,000	Representative Scott Peters	D-CA	\$7,500
Representative Brett Guthrie R-KY \$5,000 Representative Linda Sanchez D-CA \$2,500 Representative Kevin Hern R-OK \$4,000 Representative Steve Scalise R-LA \$12,500 Representative Brian Higgins D-NY \$5,000 Representative Mary Scanlon D-PA \$2,000 Representative French Hill R-AR \$9,000 Representative Brad Schneider D-IL \$10,000 Representative Jim Himes D-CT \$7,500 Representative Terri Sewell D-AL \$5,500 Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Vicente Gonzalez	D-TX	\$1,000	Representative Guy Reschenthaler	R-PA	\$2,500
Representative Kevin HernR-OK\$4,000Representative Steve ScaliseR-LA\$12,500Representative Brian HigginsD-NY\$5,000Representative Mary ScanlonD-PA\$2,000Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Jim HimesD-CT\$7,500Representative Terri SewellD-AL\$5,500Representative Ashley HinsonR-IA\$5,500Representative Brad ShermanD-CA\$2,500Representative Steven HorsfordD-NV\$3,000Representative Mikie SherrillD-NJ\$3,000	Representative Josh Gottheimer	D-NJ	\$12,500	Representative Tom Rice	R-SC	\$2,000
Representative Brian HigginsD-NY\$5,000Representative Mary ScanlonD-PA\$2,000Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Jim HimesD-CT\$7,500Representative Terri SewellD-AL\$5,500Representative Ashley HinsonR-IA\$5,500Representative Brad ShermanD-CA\$2,500Representative Steven HorsfordD-NV\$3,000Representative Mikie SherrillD-NJ\$3,000	Representative Brett Guthrie	R-KY	\$5,000	Representative Linda Sanchez	D-CA	\$2,500
Representative French HillR-AR\$9,000Representative Brad SchneiderD-IL\$10,000Representative Jim HimesD-CT\$7,500Representative Terri SewellD-AL\$5,500Representative Ashley HinsonR-IA\$5,500Representative Brad ShermanD-CA\$2,500Representative Steven HorsfordD-NV\$3,000Representative Mikie SherrillD-NJ\$3,000	Representative Kevin Hern	R-OK	\$4,000	Representative Steve Scalise	R-LA	\$12,500
Representative Jim HimesD-CT\$7,500Representative Terri SewellD-AL\$5,500Representative Ashley HinsonR-IA\$5,500Representative Brad ShermanD-CA\$2,500Representative Steven HorsfordD-NV\$3,000Representative Mikie SherrillD-NJ\$3,000	Representative Brian Higgins	D-NY	\$5,000	Representative Mary Scanlon	D-PA	\$2,000
Representative Ashley Hinson R-IA \$5,500 Representative Brad Sherman D-CA \$2,500 Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative French Hill	R-AR	\$9,000	Representative Brad Schneider	D-IL	\$10,000
Representative Steven Horsford D-NV \$3,000 Representative Mikie Sherrill D-NJ \$3,000	Representative Jim Himes	D-CT	\$7,500	Representative Terri Sewell	D-AL	\$5,500
	Representative Ashley Hinson	R-IA	\$5,500	Representative Brad Sherman	D-CA	\$2,500
Representative Chrissy Houlahan D-PA \$5,500 Representative Jason Smith R-MO \$13,000	Representative Steven Horsford	D-NV	\$3,000	Representative Mikie Sherrill	D-NJ	\$3,000
	Representative Chrissy Houlahan	D-PA	\$5,500	Representative Jason Smith	R-MO	\$13,000

#### **2022** House of Representative Disbursements continued

#### **2022 Senate Disbursements**

Name	Party-State	Amount	Name	Party-State	Amount
Representative Lloyd Smucker	R-PA	\$5,000	Senator John Boozman	R-AR	\$10,000
Representative Bryan Steil	R-WI	\$13,000	Senator Sherrod Brown	D-OH	\$1,000
Representative Haley Stevens	D-MI	\$4,000	Senator Bob Casey	D-PA	\$2,500
Representative Mike Thompson	D-CA	\$5,000	Senator Catherine Cortez Masto	D-NV	\$10,000
Representative Norma Torres	D-CA	\$2,500	Senator Mike Crapo	R-ID	\$12,000
Representative Juan Vargas	D-CA	\$7,500	Senator Maggie Hassan	D-NH	\$2,000
Representative Ann Wagner	R-MO	\$10,000	Senator Mitch McConnell	R-KY	\$1,500
Representative Jackie Walorski	R-IN	\$2,500	Senator Bob Menendez	D-NJ	\$5,000
Representative Debbie Wasserman Schultz	D-IL	\$1,000	Senator Jerry Moran	R-KS	\$11,500
Representative Brad Wenstrup	R-OH	\$3,500	Senator Lisa Murkowski	R-AK	\$5,000
Representative Roger Williams	R-TX	\$5,000	Senator Patty Murray	D-WA	\$2,000
Representative Steve Womack	R-AR	\$13,500	Senator Gary Peters	D-MI	\$5,000
			Senator Jacky Rosen	D-NV	\$5,000
			Senator Mike Rounds	R-SD	\$5,000
			Senator Ben Sasse	R-NE	\$5,000
			Senator Tim Scott	R-SC	\$5,000
			Senator Jeanne Shaheen	D-NH	\$1,000

#### **2022 Caucus PACs and Trade Association PACs**

Senator Debbie Stabenow

Senator John Tester Senator John Thune

Senator Todd Young

Name	Amount
ASPIRE PAC	\$5,000
Blue Dog Coalition	\$5,000
CHC BOLD PAC	\$5,000
Congressional Black Caucus	\$5,000
MODERATE DEMOCRATS PAC	\$5,000
New Democrat Coalition	\$5,000
ACROSS THE AISLE PAC	\$5,000

D-MI

D-MT

R-SD

R-IN

\$1,000

\$2,500

\$11,500

\$2,000



The LPL PAC is governed by a board of directors composed of LPL employees across a number of business units. It's also advised by an advisor council composed of top LPL financial professionals.

#### **2022 LPL PAC Board of Directors**

Name	Title
Ryder Hill	Chair, Senior Vice President, Legal
Laurie Baker	Treasurer, Vice President, Finance
Jeffrey Buchbinder	Vice President, Research
Matthew Enyedi	Managing Director, Client Success
Risa Fitzgerald	Senior Vice President, Service
Steve LaChance	Senior Vice President, Regional Consulting
Vance Kirksey	Senior Vice President, Culture & Talent
Ryan McClain	Vice President, Business Consulting
Judy Ricketts	Executive Vice President, Operations
Rick Sheehan	Senior Vice President, Compliance

#### 2022 LPL Government Relations Advisor Council

Name	Location
Michael Brady, Chair	Broomall, PA
Angie Vlach, Vice Chair	Olympia, WA
Ken Van Leeuwen, Founding Member	Princeton, NJ
Steve Budin	Las Vegas, NV
Jamie Cox	Richmond, VA
Graham Green	San Francisco, CA
Steve Lank	Fort Mill, SC
Larry Leitch	Towson, MD
Lena Mandelis	Lexington, MA
Jim Parks	Ridgewood, NJ
Keri Pugh	Littleton, CO
Mark Shepherd	Winchester, MA
Tom Tye	Danville, KY
Joan Valenti*	Farmington, CT
Cary Wong	Spokane, WA

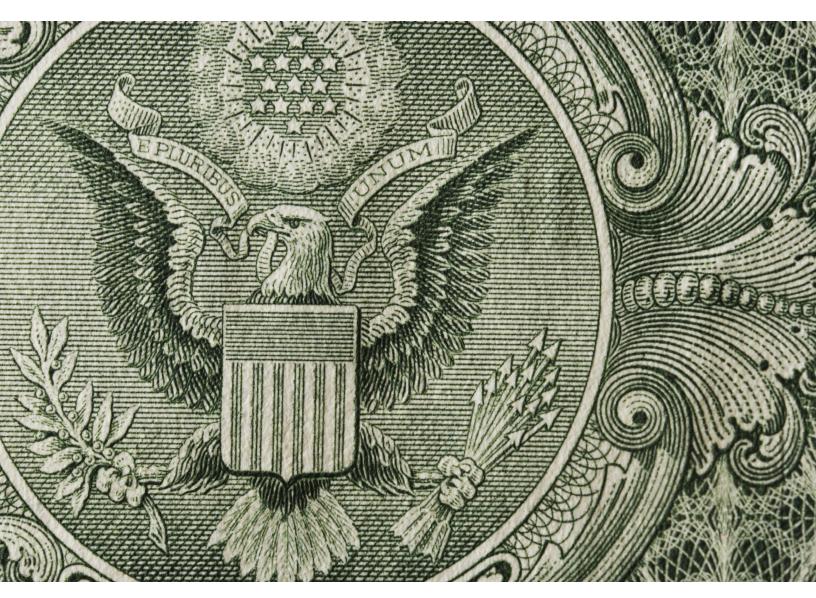


#### **A SPECIAL THANKS**

Thank you to those who served on our 2022 PAC Board and Government Relations Advisor Council



#### **SOLUTION** FOR MORE INFORMATION



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